

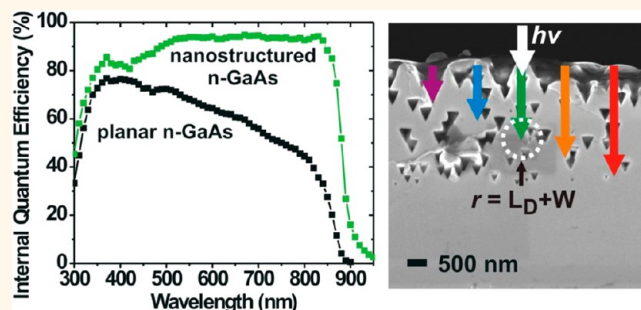
# Electrochemical Nanostructuring of *n*-GaAs Photoelectrodes

Andrew J. Ritenour, Solomon Levinrad, Colin Bradley, Richard C. Cramer, and Shannon W. Boettcher\*

Department of Chemistry and The Materials Science Institute, University of Oregon, Eugene, Oregon 97403, United States

**ABSTRACT** Methods to simultaneously optimize carrier collection and light in-coupling in semiconductors are important for developing low-cost, high-efficiency photovoltaics and photoelectrodes. We anodically etched nanostructures into planar  $\langle 100 \rangle$  *n*-GaAs wafers with different bulk minority carrier diffusion lengths  $L_D$ . The structures were varied by changing the anodization parameters. A ferrocene/ferrocenium electrolyte provided a conformal rectifying contact to the anodized *n*-GaAs and enabled the measurement of carrier generation and collection as a function of

nanostructure geometry and  $L_D$ . Internal quantum efficiency  $\Phi_{\text{int}}$  of photoelectrodes varied with nanostructure geometry and  $L_D$ . External quantum efficiency  $\Phi_{\text{ext}}$  also depended on the reflectance of the nanostructured GaAs–electrolyte interface. Reflectance was minimized using anodization current densities of 100–150 mA cm<sup>-2</sup>, which etched subwavelength trigonal prismatic nanostructures  $\sim 400$  nm in width at their base. For Si-doped *n*-GaAs with  $L_D = 170$  nm, peak  $\Phi_{\text{ext}}$  of  $\sim 75\%$  and  $\Phi_{\text{int}}$  of  $\sim 85\%$  was achieved using  $J_{\text{anod}} = 150$  mA cm<sup>-2</sup>. The control of both surface nanostructure (to minimize reflection) and pore depth and spacing (to optimize 3D carrier collection) *via* two-step anodization yielded photoelectrodes with peak  $\Phi_{\text{ext}}$  of  $\sim 85\%$  and peak  $\Phi_{\text{int}}$  of  $\sim 95\%$  for Te-doped *n*-GaAs with a bulk  $L_D$  of only 420 nm. The measured short-circuit current densities for the nanostructured photoelectrodes were up to 2.5 times that of planar controls, demonstrating that appropriate nanostructuring significantly improves carrier collection even for direct bandgap materials with large absorption coefficients like GaAs.



**KEYWORDS:** solar energy · electrochemistry · photoelectrode · GaAs · nanostructure · patterning · anodization

Understanding the interplay between nanostructure, optical reflectance ( $R$ ), and carrier collection is important for the design of photoelectrochemical (PEC) and photovoltaic (PV) devices.<sup>1–3</sup> The ideal structure minimizes both light reflection at the surface and electron–hole recombination in the bulk.<sup>4,5</sup> Bulk recombination occurs when light is absorbed in the semiconductor at a depth greater than the collection length, given by the bulk minority carrier diffusion length  $L_D$  plus the depletion width  $W$ ; that is,  $L_D + W$  (Figure 1A). One method to reduce bulk recombination is to increase  $L_D$  by using a material pure and free of defects, which contributes to the cost of the final device. For PV devices,  $R$  is reduced by antireflective coatings with optimized thicknesses and indices of refraction ( $n$ ). For PEC applications, the requirement of direct solution–semiconductor contact precludes the use of such coatings (Supporting Information, Figure S1). Therefore, architectures which maximize optical in-coupling

from low- $n$  (solution or air) to high- $n$  (semiconductor) media while simultaneously minimizing bulk recombination losses, and can be implemented without adding expensive or slow processing steps, are needed.

Three-dimensional (3D) structuring provides a mechanism to minimize  $R$ . For example, arrays of nanoscale cones and pillars nearly eliminate reflection from Si films.<sup>3</sup> This is caused by a gradient in the effective  $n$  at the air–semiconductor interface due to the subwavelength ( $\lambda$ ) size and tapered nanostructure shape.<sup>3</sup> In the Si PV industry an anisotropic wet etching of crystalline Si is used to provide pyramidal surface textures which reduce the  $R$  value of commercial PV devices.<sup>6,7</sup>

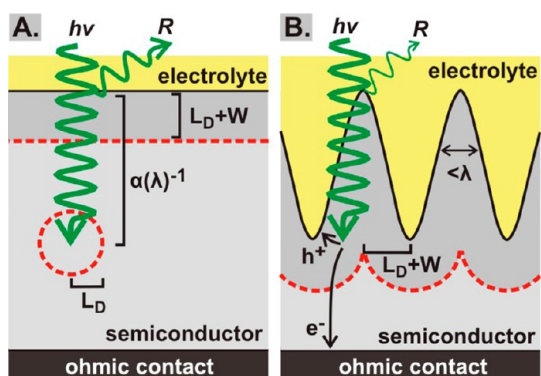
Enhanced carrier collection in 3D radial-junction PV devices relative to planar ones has been modeled by Kayes *et al.*<sup>4</sup> The optical absorption coefficient  $\alpha(\lambda)$  determines the depth to which photons are absorbed in the semiconductor,  $\propto \alpha(\lambda)^{-1}$ .

\* Address correspondence to swb@uoregon.edu.

Received for review April 22, 2013 and accepted July 19, 2013.

Published online July 19, 2013  
10.1021/nn4020104

© 2013 American Chemical Society



**Figure 1.** (A) In a planar photoelectrode significant bulk recombination losses occur when light is absorbed at a depth greater than  $L_D + W$ . (B) Lateral carrier collection in a nanostructured photoelectrode enables collection of minority holes that would otherwise recombine. Surface features with sub- $\lambda$  dimensions also decrease  $R$  losses.

When  $L_D + W < \alpha(\lambda)^{-1}$ , significant recombination losses occur (Figure 1). The optimal height and spacing of the nanostructures are thus expected to depend on both  $\alpha(\lambda)$  and  $L_D + W$ .<sup>4</sup> When  $L_D + W \gg \alpha(\lambda)^{-1}$ , bulk recombination does not significantly affect the internal quantum efficiency ( $\Phi_{\text{int}}$ ). When  $L_D + W < \alpha(\lambda)^{-1}$  (as is typical in many low-cost semiconductors) the 3D structure has a large effect on  $\Phi_{\text{int}}$ .<sup>8</sup> Because  $\alpha(\lambda)$  decreases as the photon energy approaches the semiconductor bandgap  $E_g$ , it is expected that nanostructuring will yield the greatest improvement in  $\Phi_{\text{int}}$  for photons with energy slightly above  $E_g$ .

For indirect bandgap semiconductors with long  $\alpha(\lambda)^{-1}$  such as Si<sup>9,10</sup> and GaP<sup>11</sup> the relationship between 3D structure and carrier collection has been studied in VLS-grown nano/microwire systems<sup>2,10</sup> and nanostructures etched from single-crystal wafers using dry,<sup>12</sup> wet,<sup>13–15</sup> and electrochemical anodization processes.<sup>16–18</sup>

The correlation between 3D structure and photoelectrode performance in direct bandgap absorbers with short  $\alpha(\lambda)^{-1}$ , such as GaAs, has not been well explored. GaAs and related III–V compounds are important solar materials due to high mobilities ( $\mu$ ), high  $\alpha(\lambda)$ , and compositionally tunable bandgaps.<sup>19</sup> GaAs is of particular interest because of the demonstration of world-record single-junction PV devices with 1-sun  $\eta = 28.8\%$ ,<sup>20</sup> as well as the ability to deposit solar-quality GaAs thin films by plausibly inexpensive and scalable vapor transport techniques.<sup>21–24</sup> Parkinson and co-workers showed that the photocurrent obtained from  $n$ -GaAs electrodes can be enhanced through a “matte” etch using a  $\text{H}_2\text{SO}_4/\text{H}_2\text{O}_2$  solution. The increased photocurrent was attributed to reduced  $R$ .<sup>13</sup> However, reinspection of the published scanning electron microscope (SEM) images shows random roughening on the  $\sim 0.5 \mu\text{m}$  length scale which suggests that 3D carrier collection also likely contributed to improved carrier collection. Electrochemical anodization has also

been shown to create nanoporous GaAs structures with a variety of pore geometries,<sup>25–28</sup> but the influence of the nanostructure on the photoelectrode performance has not been explored. Recently MOCVD-grown epitaxial GaAs and other III–V nanowire/nanopillar array solar devices have been reported.<sup>29–32</sup> However, the variable surface and bulk properties of these materials make it challenging to separate the influences of  $R$ , bulk recombination, and surface defects on the measured photoresponse.

Herein we report a combined PEC and optical  $R$  study of nanostructured  $n$ -GaAs photoelectrodes created using electrochemical anodization. The surface nanostructure depth and coverage was controlled by adjusting the anodization current density ( $J_{\text{anod}}$ ) and time ( $t$ ) without using lithography.<sup>25–28</sup> Photoresponse was analyzed using a ferrocene/ferrocenium electrolyte which makes a conformal rectifying contact to  $n$ -GaAs.<sup>22,33,34</sup> The  $R$  of the air/glass/solution/GaAs interface was directly measured using an integrating sphere. We used two  $n$ -GaAs wafers with different  $L_D$  to study how the nanostructure’s influence on  $\Phi_{\text{int}}$  changes with  $L_D$ . A two-step anodization procedure<sup>25,26</sup> was used to control pore depth and spacing, which allowed us to optimize surface nanostructure based on  $\alpha(\lambda)$  and  $L_D$ . This study complements the previous work on anodized indirect bandgap semiconductors and demonstrates that rational nanostructuring can dramatically improve performance of photoelectrodes even when fabricated from materials with direct bandgaps and high  $\alpha(\lambda)$ .

## RESULTS AND DISCUSSION

**I. Anodization and Structure Characterization.** The use of anodization to form pores in GaAs is well-known.<sup>25,26,28</sup> Application of an oxidizing potential to  $n$ -GaAs causes tunneling breakdown<sup>35</sup> of the space-charge layer,<sup>26</sup> providing holes at the semiconductor surface which subsequently oxidize the Ga and As and form species which are soluble in acidic or alkaline solution.<sup>36</sup> Using this technique both  $J_{\text{anod}}$  and the voltage applied during anodization ( $V_{\text{app}}$ ) have been shown to influence the crystallographic orientation<sup>28</sup> and density<sup>25</sup> of pores.

In this study, pores were etched into the  $n$ -GaAs wafers by passing an anodic galvanostatic current density ( $J_{\text{anod}}$ ) through the 1 M  $\text{H}_2\text{SO}_4$  electrolyte as shown in Figure 2. On the basis of the Pourbaix diagrams,<sup>36</sup> the anodization of GaAs in 1 M  $\text{H}_2\text{SO}_4$  at potentials greater than  $\sim 0.5$  V vs NHE is expected to yield aqueous  $\text{Ga}^{3+}$  and  $\text{H}_3\text{AsO}_4$ . We used a two-electrode anodization cell with the Pt counter electrode evolving  $\text{H}_2$ . Because Pt is a good catalyst for  $\text{H}_2$  evolution, the counter electrode potential is maintained near NHE. Given the observed range of  $V_{\text{app}}$  (1.8–5.4 V), the GaAs working electrode was undoubtedly positive of 0.5 V vs NHE. The anodic etching and

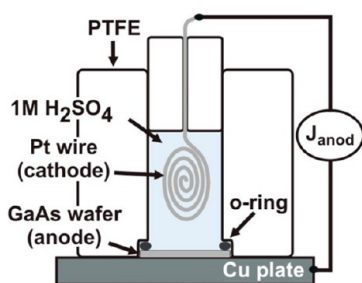
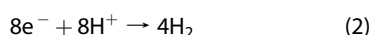
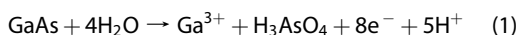


Figure 2. Schematic of the anodization cell used to fabricate nanostructured GaAs.

cathodic counter electrode reactions are thus given by eqs 1 and 2, respectively.



Anodization parameters facilitated control of both the etch rate (which depends on  $J_{\text{anod}}$ ) and the volume of material etched ( $v$ ). As shown in eq 3,  $v$  depends on the charge passed per unit area,  $Q = J_{\text{anod}} \cdot t$ .

$$v = \frac{QMA}{n_e q N_A \rho} \quad (3)$$

where  $t$  is the etch duration,  $M$  is the molecular weight of GaAs,  $n_e$  is the number of moles of electrons per mole of GaAs oxidized,  $q$  is the fundamental charge,  $N_A$  is Avogadro's number,  $A$  is the area being anodized, and  $\rho$  is the density of GaAs.

Two wafers with different  $L_D$  (Si-doped GaAs with  $L_D = 170$  nm and Te-doped GaAs with  $L_D = 420$  nm) were used to investigate the relationship between nanostructure,  $\Phi_{\text{intv}}$  and  $L_D$ . To study the effect of the etch rate on nanostructure, we adjusted  $J_{\text{anod}}$  and  $t$  (and measured  $V_{\text{app}}$ ) while keeping  $Q$  constant at  $1.4 \text{ C cm}^{-2}$  (Table 1). From SEM analysis we find that as  $J_{\text{anod}}$  increased, the pore depth decreased (Figures 3 and 4). We note that samples prepared with  $J_{\text{anod}} \leq 100 \text{ mA cm}^{-2}$  retained some planar areas on the surface. It has previously been shown that after a surface is covered with features to nucleate pores (such as etch pits<sup>25</sup> or surface texture<sup>28</sup>) a high pore density can be achieved by anodization. We thus also used a two-step anodization to fabricate samples having different pore depths while maintaining complete surface nanostructure coverage. The two-step anodization consisted of a brief pore nucleation step used to form a high density of shallow pores ( $J_{\text{anod}} = 150 \text{ mA cm}^{-2}$  and  $t = 3$  s) followed by a separate pore growth step used to deepen the existing pores ( $J_{\text{anod}} = 50 \text{ mA cm}^{-2}$  and  $20 \text{ s} \leq t \leq 76$  s) for which  $t$  was varied to change  $Q$  (Table 1).<sup>26</sup> Throughout the text we refer to GaAs samples by the  $n$ -type dopant present in the wafer (Si or Te) and the relevant anodization parameter, that is, the value of  $J_{\text{anod}}$  (in  $\text{mA cm}^{-2}$ ) for samples anodized using one step, or the value of  $Q$  (in  $\text{C cm}^{-2}$ ) for samples anodized using two steps (Table 1).

TABLE 1. Anodization Parameters Used to Fabricate Nanostructured GaAs

sample name	$L_D$ (nm)	planar, 1-step, or 2-step etch	$J_{\text{anod}}$ ( $\text{mA cm}^{-2}$ )	$V_{\text{app}}$ (V)	$t$ (s)	$Q$ ( $\text{C cm}^{-2}$ )	avg pore depth ( $\mu\text{m}$ )
Si-0	170	planar					
Si-25	170	1-step	25	1.8	56	1.4	1.7
Si-50	170	1-step	50	2.0	28	1.4	1.2
Si-100	170	1-step	100	2.6	14	1.4	0.6
Si-150	170	1-step	150	3.6	9.4	1.4	0.5
Si-200	170	1-step	200	4.4	7	1.4	0.3
Si-250	170	1-step	250	5.4	5.6	1.4	0.2
Te-0	420	planar					
Te-50	420	1-step	50	2.6	28	1.4	4.0
Te-150	420	1-step	150	3.5	9.4	1.4	1.5
Te-1.4	420	2-step	150/50	3.5/2.5	3/20	1.4	3.2
Te-2.8	420	2-step	150/50	3.5/2.5	3/47	2.8	3.9
Te-4.2	420	2-step	150/50	3.5/2.5	3/74	4.2	5.6

SEM analysis revealed that pores nucleated randomly across the surface but grew preferentially in the [111]B direction as has been previously observed by Föll and co-workers.<sup>27</sup> Figure 3 shows that the etching is more uniform and isotropic with a larger number of pores for increased  $J_{\text{anod}}$ . The etched nanostructures give rise to sub- $\lambda$  trigonal prismatic features, which are expected to reduce  $R$ . The nanostructure depths of  $0.2$ – $5.6 \mu\text{m}$  are appropriate for studying lateral carrier collection based on the high  $\alpha(\lambda)$  of GaAs.<sup>37</sup> These data suggest that anodization can be used to tailor  $n$ -GaAs nanostructures for solar devices, as we show below.

**II. Photoelectrochemical Current–Potential Analysis.** An electrolyte of ferrocene/ferrocenium in acetonitrile was used to provide conformal rectifying contacts to the  $n$ -GaAs photoelectrode (see Methods).<sup>22,33,34</sup> Current–potential ( $J$ – $E$ ) measurements were carried out under  $100 \text{ mW cm}^{-2}$  of AM1.5G solar simulation (1-sun) with rapid stirring to increase solution mass transport. All anodized samples showed improved short-circuit photocurrent ( $J_{\text{SC}}$ ) relative to the planar  $n$ -GaAs controls (Figure 5). For anodized photoelectrodes Si-150 and Te-1.4,  $J_{\text{SC}}$  was improved by 250% and 195%, respectively, compared to planar controls made from the same wafers (Si-0 and Te-0).

The measured fill factor (ff) provides further insight into the operation of the nanostructured  $n$ -GaAs photoelectrodes. Unlike PV devices, the ff of PEC devices is affected by a voltage loss associated with the concentration overpotential ( $\eta_{\text{conc}}$ ) required to drive the diffusion of redox species from the bulk solution to the photoelectrode surface.<sup>38</sup>  $\eta_{\text{conc}}$  increases for the anodized samples relative to planar controls because the photocurrent is higher. Correcting for  $\eta_{\text{conc}}$  (see SI) results in ff between 0.6 and 0.7 for all anodized samples, independent of  $J_{\text{anod}}$  and similar to the planar controls (Figure 5C,D). This indicates that the ff in the uncorrected  $J$ – $E$  data is affected by mass

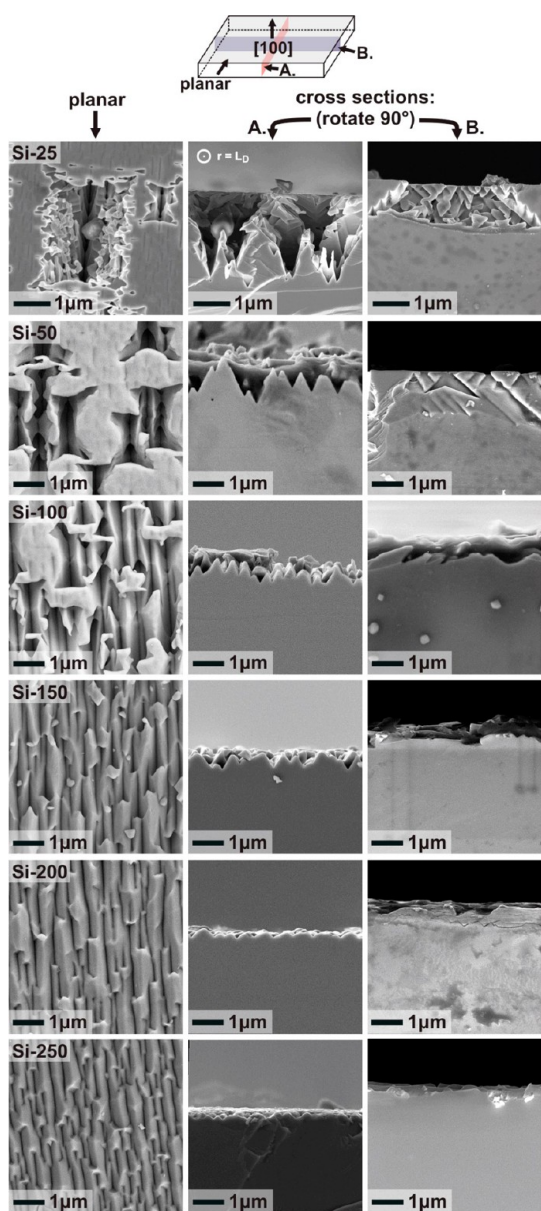


Figure 3. SEM micrographs of the anodized Si-doped ( $L_D = 170$  nm)  $n$ -GaAs wafer viewed top-down (left column), in cross-section perpendicular to the long dimension of the pores (middle column), and in cross-section parallel to the long dimension of the pores (right column). The faces exposed in the two cross sections belong to the  $\{110\}$  of family of planes.

transport and series resistance losses inherent to the PEC technique and is not due to degradation of the anodized  $n$ -GaAs relative to the planar controls. The series resistance and overpotential losses can be reduced by either using higher concentrations of redox couple and supporting electrolyte, or by using thin-layer electrolyte geometries.<sup>39</sup>

**III. Optical Reflectance as a Function of Nanostructure.** The  $R$  of each photoelectrode surface was measured to determine how much of the increases in  $J_{SC}$  can be attributed to changes in  $R$ . To measure  $R$ , we used an integrating sphere with a custom holder that incorporates GaAs, acetonitrile, and a glass slide to duplicate

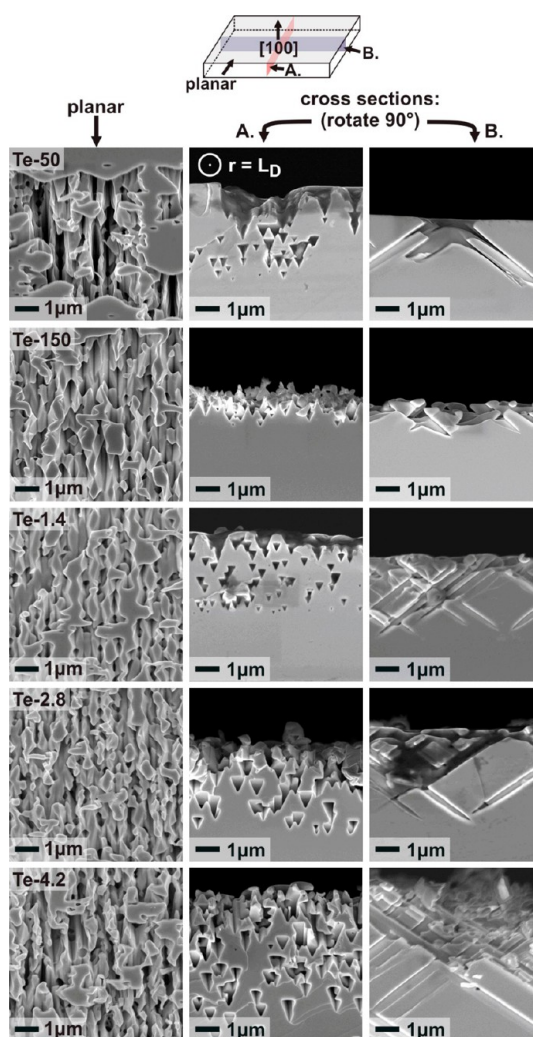


Figure 4. SEM micrographs of the anodized Te-doped ( $L_D = 420$  nm)  $n$ -GaAs wafer viewed top-down (left column), in cross-section perpendicular to the long dimension of the pores (middle column), and in cross-section parallel to the long dimension of the pores (right column). The faces exposed in the two cross sections belong to the  $\{110\}$  of family of planes.

the interfaces present in the PEC cell (i.e., air/glass/ acetonitrile/GaAs).

All anodized samples had lower  $R$  than the planar controls (Figure 6). Although increases in  $J_{SC}$  correlate with decreased  $R$ , the change in  $R$  by itself does not account for the amount of increase in  $J_{SC}$ . The  $R$  of the air/glass/acetonitrile/GaAs interface for planar controls is approximately 0.27.  $J_{SC}$  for anodized samples increased up to 250% relative to planar controls (Figure 3). Thus, the enhanced  $J_{SC}$  for anodized samples relative to planar samples is due to decreased bulk recombination (resulting from 3D carrier collection) in addition to the decreased  $R$ .

At normal incidence,  $R$  is expected to be independent of sample orientation. However, because the sample holder in the integrating sphere is  $8^\circ$  off-axis (Figure 6B inset),  $R$  for the anodized GaAs was found to

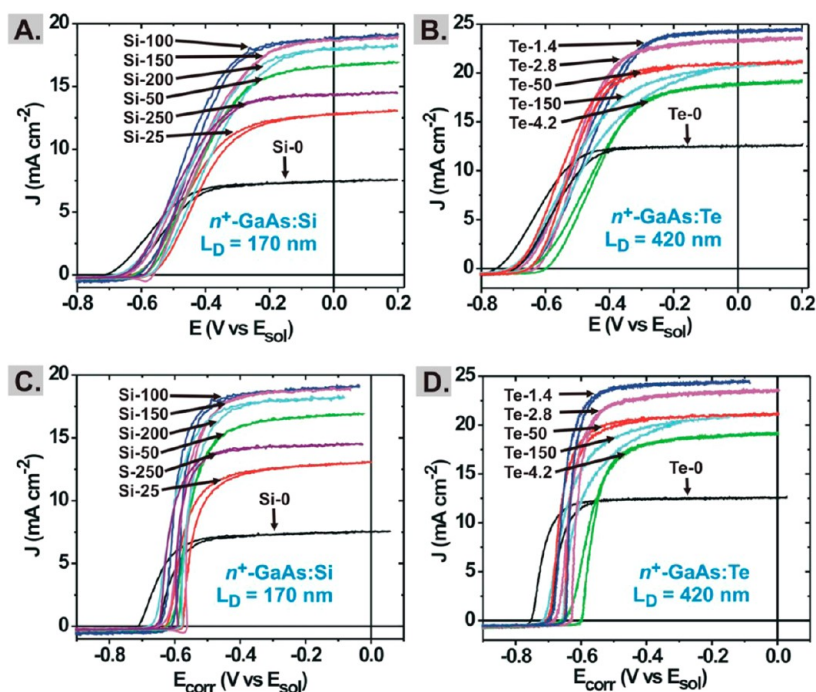


Figure 5.  $J$ - $E$  curves of (A) anodized  $n$ -GaAs:Si ( $L_D = 170$  nm) and (B) anodized  $n$ -GaAs:Te ( $L_D = 420$  nm). The same data corrected for series resistance and concentration overpotential is shown in panels C and D.

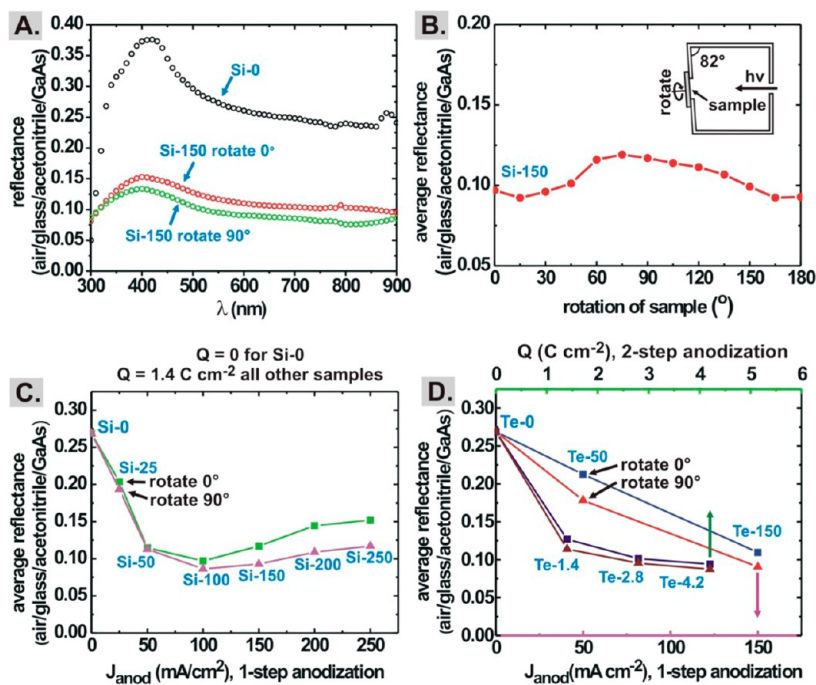


Figure 6. Measured  $R$  of the air/glass/acetonitrile/GaAs interface for all samples. (A) Reflectance of a planar GaAs control and sample Si-150 rotated 0 and 90°. (B) Average reflectance ( $300 \text{ nm} < \lambda < 900 \text{ nm}$ ) of sample Si-150 measured as a function of rotation of the (100) surface. The inset depicts the sample position in the integrating sphere. (C,D) Average reflectance over  $\lambda = 300$ – $900$  nm for planar and anodized samples demonstrating the effects of  $J_{\text{anod}}$ ,  $Q$ , and rotation on  $R$ . For 6D, the bottom axis is for samples anodized with 1 step (Te-50 and Te-150) and the top axis is for samples anodized with 2 steps (Te-1.4, Te-2.8, and Te-4.2).

vary when the samples were rotated around the  $\langle 100 \rangle$  direction (Figure 6). The etched nanostructures are anisotropic with respect to rotation of the (100) plane (Figure 3 and 4) which results in the observed rotational dependence of  $R$ . The normal incidence  $R$  (for the

purpose of calculating  $\Phi_{\text{int}}$ ) was approximated by averaging the  $R$  measured at 0° and 90° rotation (Figure 6B inset). The error in this approximation is small and of the same order as the error resulting from slight deviations from normal incidence encountered

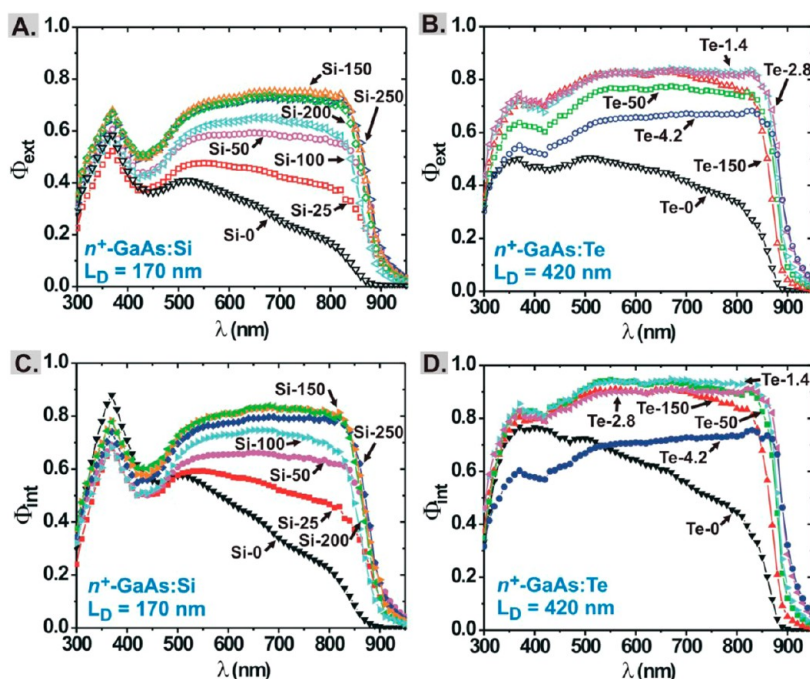


Figure 7. External quantum efficiency  $\Phi_{\text{ext}}$  for  $n$ -GaAs with (A)  $L_D = 170$  nm and (B)  $L_D = 420$  nm. Internal quantum efficiency  $\Phi_{\text{int}}$  for  $n$ -GaAs with (C)  $L_D = 170$  nm and (D)  $L_D = 420$  nm.

when making the physical electrodes, clamping the PEC cell in place, and positioning the solar simulator, and was therefore neglected.

The  $R$  of samples decreased with increasing  $J_{\text{anod}}$  until a minimum was reached at  $J_{\text{anod}} = 100$  mA cm $^{-2}$ , and then  $R$  increased up to  $J_{\text{anod}} = 250$  mA cm $^{-2}$  (Figure 6C). For samples Te-1.4, Te-2.8, and Te-4.2, which were etched using the two-step process, we observed a slight decrease in  $R$  as  $Q$  was increased (Figure 6D). The trends in  $R$  are consistent with analysis of the SEM images. The surfaces of the lowest  $R$  samples consist of v-shaped pores that are approximately 0.5  $\mu\text{m}$  deep with sub- $\lambda$  lateral dimensions. The highest  $R$  samples all possessed either pores shallower than  $\lambda$  or unetched flat regions (see Figures 3 and 4), both of which present an abrupt change in  $n$  for incident photons and thus increased  $R$  at the semiconductor/solution interface relative to the optimally etched samples.

**IV. Minority Carrier Collection.** To understand the influence of nanostructure on the collection of photogenerated minority carriers, we measured the external quantum efficiency ( $\Phi_{\text{ext}}$ ) (Figure 7A,B) and corrected it for  $R$  to obtain the internal quantum efficiency ( $\Phi_{\text{int}}$ ):

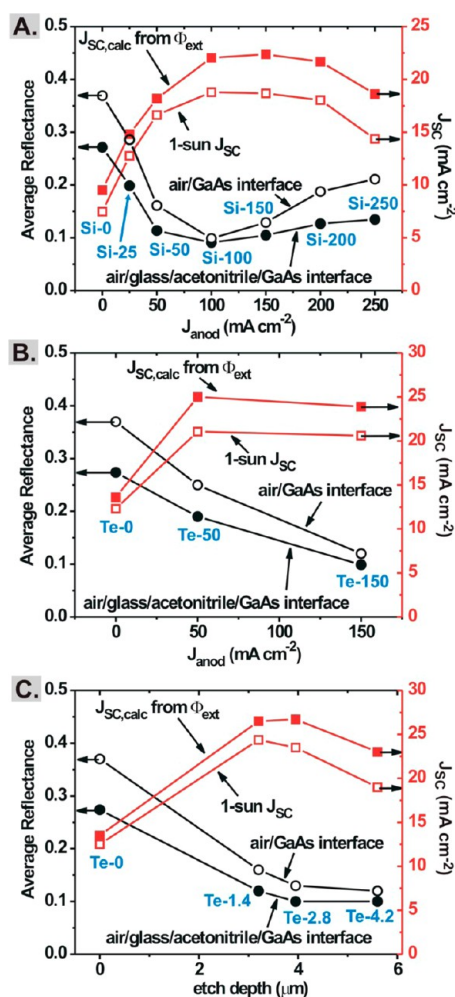
$$\Phi_{\text{int}} = \frac{\Phi_{\text{ext}}}{1 - R} \quad (4)$$

If changes in  $J_{\text{SC}}$  were solely due to decreased  $R$  of the anodized GaAs,  $\Phi_{\text{int}}$  would be identical for all samples. However, the  $\Phi_{\text{int}}$  of anodized samples is higher than the  $\Phi_{\text{int}}$  of planar controls. Thus increased  $J_{\text{SC}}$  for the anodized samples is due not only to reduced  $R$ , but also

due to an improved collection of minority carriers, particularly of those generated by photons with energy slightly above  $E_g$  (Figure 7C,D). The combination of the enhanced optical in-coupling and carrier-collection results in significantly increased  $\Phi_{\text{ext}}$  for the anodized samples relative to the planar controls Si-0 and Te-0. Over the range of nanostructures explored, the relative improvement from control to anodized samples was greatest for Si-doped GaAs with  $L_D = 170$  nm. The  $\Phi_{\text{ext}}$  was highest, however, for the optimally anodized Te-1.4 which had a longer  $L_D = 420$  nm.

**V. Overall Photoelectrode Structure–Performance Trends.** Observed trends in  $R$ ,  $\Phi_{\text{ext}}$ , and  $J_{\text{SC}}$  are plotted as a function of the anodization variables  $J_{\text{anod}}$  and  $Q$  in Figure 8. The observed trends in  $R$  are consistent with enhanced optical in-coupling due to the v-shaped sub- $\lambda$  nanostructures observed *via* SEM. The trends in  $\Phi_{\text{ext}}$  are the result of enhanced light absorption and decreased bulk recombination due to 3D carrier collection.

To check the consistency of the quantum efficiency and  $J$ – $E$  data,  $\Phi_{\text{ext}}$  was used to calculate the expected  $J_{\text{SC}}$  under 1-sun illumination ( $J_{\text{SC,calc}}$ ) by integrating the product of the solar flux and  $\Phi_{\text{ext}}$ . Trends in  $J_{\text{SC,calc}}$ ,  $J_{\text{SC}}$ , wavelength averaged normal-incidence  $R$  in air, and wavelength average normal-incidence  $R$  of the air/glass/acetonitrile/GaAs interface are compared in Figure 8. The values of  $J_{\text{SC,calc}}$  are 2–3 mA cm $^{-2}$  higher than the values of  $J_{\text{SC}}$  measured under 1-sun illumination. This discrepancy is due to light absorption by the concentrated electrolyte used in the 1-sun measurements,



**Figure 8.** Trends in photoelectrode response as a function of anodization parameters. On each panel is plotted  $J_{SC}$  calculated from  $\Phi_{ext}$  measurements,  $J_{SC}$  under AM1.5G solar simulation, average  $R$  in air, and average  $R$  of the air/glass/acetonitrile/GaAs interface: (A) samples Si-0 through Si-250 (one-step anodization); (B) samples Te-0 through Te-150 (one-step anodization); (C) samples Te-0 through Te-4.2 (two-step anodization).

which was required to sustain the mA-range photocurrent. In contrast,  $\Phi_{ext}$  was determined from nA-range photocurrent measurements, which made it possible to reduce the concentration of the light-absorbing electrolyte by diluting it 1:10 with dry acetonitrile. Remeasuring  $\Phi_{ext}$  in undiluted electrolyte yielded values of  $J_{SC,calc}$  within  $\pm 0.5$  mA of the observed  $J_{SC}$  (Supporting Information, Figure S3A). Therefore, the 1-sun  $J_{SC}$  attainable in optimized cells without parasitic electrolyte absorption is expected to be 2–3 mA higher than what was measured here.

Besides  $\Phi_{ext}$  and  $ff$ , the photovoltage is an important performance metric. At constant light flux, the ideal diode equation predicts that the photovoltage will decrease by 59 mV times the diode ideality factor  $n_{dior}$ , per order-of-magnitude increase in the charge-separating junction area, in this case the area of the electrode–electrolyte interface.<sup>1,4</sup> As expected, the

anodized samples exhibited lower photovoltages than planar controls. On the basis of SEM cross sections, the roughness factors of the anodized Si-doped  $n$ -GaAs samples range from 1.3 (Si-25) to 2.5 (Si-150). On the basis of this increased junction area, the predicted decrease in photovoltage should be 7 mV to 25 mV multiplied by  $n_{dior}$ . The observed photovoltages decreased by 20 mV to 50 mV relative to the planar controls, suggesting  $n_{dior} \approx 2$ .

Sample Te-1.4 was etched *via* the two-step anodization and had the highest  $\Phi_{int}$  measured, 0.94 at  $\lambda = 830$  nm, despite having  $L_D = 420$  nm, which is short relative to  $\alpha(\lambda)^{-1}$ . This represents a 2.8-fold  $\Phi_{int}$  enhancement relative to the planar control Te-0. This near-ideal structure, which was introduced without lithography *via* potentially inexpensive and rapid anodization, had pores with almost an ideal spacing of  $\sim 2 \times L_D$  and depth greater than  $\alpha(\lambda)^{-1}$  (Figure 1). Further increases to  $\Phi_{ext}$  could be achieved by treating the glass/air surface ( $R \approx 0.04$ ) with an antireflective coating. For comparison, Te-150 was etched with a one-step anodization and showed similar  $\Phi_{int}$  to Te-1.4, except for  $\lambda$  between 800 and 900 nm where deeper pores in Te-1.4 provide 3D carrier collection pathways for weakly absorbed photons (photons having energy near  $E_g$ ). Te-4.2, which had pores roughly twice as deep as Te-1.4, showed significantly lower  $\Phi_{int}$  for all  $\lambda$ , which is inconsistent with the simple radial junction model.<sup>4</sup> Figure 4 shows that Te-4.2 also contained smaller near-surface features (*e.g.*,  $< 50$  nm) than Te-1.4 after the longer etching process. Photogenerated minority carriers in such features smaller than the depletion width (here,  $\sim 40$  nm) may be subject to increased recombination.<sup>9</sup> The highest  $\Phi_{int}$  for the Si-doped samples was  $\sim 0.82$ . The  $\Phi_{int}$  for the Si-doped samples is likely lower than for the Te-doped samples because the natural dimensions of the etched nanostructures are larger than  $2 \times L_D$ , and because of increased depletion-region recombination (due to the lower  $L_D$ ).

## CONCLUSION

We have demonstrated the use of electrochemical anodization to introduce a controllable surface nanostructure in  $n$ -GaAs photoelectrodes. Preferential etching in the [111]B direction<sup>26</sup> gives rise to triangular prismatic pores which result in reduced  $R$ , as predicted by effective medium theory and discussed by others.<sup>2,3</sup> Internally consistent  $R$ ,  $J$ – $E$ ,  $\Phi_{intr}$ ,  $\Phi_{extr}$ , and SEM analysis indicate that the high  $J_{anod}$  needed for low  $R$  interfaces is not suitable for making deep pores, as the surface is etched nearly as fast as the pore bottoms, possibly due to mass-transport limitations or a change in etching anisotropy.<sup>28</sup> Thus, moderate  $J_{anod} = 150$  mA cm<sup>−2</sup> led to effective optical in-coupling and low  $R$  but did not yield the highest  $\Phi_{int}$  for GaAs with  $L_D < \alpha(\lambda)^{-1}$ . Low  $J_{anod}$  resulted in pores with controllable depth, but the

barrier for nucleation of new pores prevented uniform texturing of the planar surface and resulted in relatively high  $R$ . A two-step anodization consisting of a high  $J_{\text{anod}}$  pore nucleation step and a low  $J_{\text{anod}}$  pore growth step was used to circumvent this problem and found to be optimal.

The methods reported here could have impact in several areas. The performance of low-cost GaAs deposited on foils or other substrates<sup>40–43</sup> could be substantially improved by controllably anodizing to reduce  $R$ , introduce nanostructuring at a length-scale appropriate for the material quality, and reduce the

influence of grain boundaries. The performance of GaAs photoelectrodes possessing  $L_D > \alpha(\lambda)^{-1}$ , such as those deposited by close-spaced vapor transport (CSVT),<sup>22–24</sup> could be improved by anodization at high- $J_{\text{anod}}$  to introduce surface nanotexture optimized to minimize  $R$ . If applied as water-splitting photoelectrodes (after protection of the GaAs surface and incorporation of an electrocatalyst),<sup>44</sup> the decrease in photovoltage caused by increased junction area is expected to be compensated by the reduction of kinetic overpotential due to increased catalyst surface area.<sup>1</sup>

## METHODS

**Sample Preparation.** Ohmic back contacts (50 nm evaporated AuGe eutectic diffused at 450 °C for 90 s in 5% H<sub>2</sub>, 95% N<sub>2</sub>) were made to commercial (100)-oriented vertical-gradient-freeze (VGF) grown  $n$ -GaAs wafers with different  $L_D$ . One wafer was doped  $n$ -type with Si ( $L_D = 170$  nm,  $N_D = 3 \times 10^{18}$  cm<sup>-3</sup>) and the other was doped with Te ( $L_D = 420$  nm,  $N_D = 7 \times 10^{18}$  cm<sup>-3</sup>). The samples were sealed in a custom PTFE cell (Figure 2) containing 1 M sulfuric acid. The cell made a seal to the wafer surface with a compressed O-ring (inner area of 0.54 cm<sup>2</sup>). Galvanostatic experiments were performed in two-electrode mode using a Biologic SP-200 potentiostat.

**$J$ - $E$  Characterization.** Photoelectrodes utilizing planar and anodized  $n$ -GaAs were constructed using standard methods.<sup>22</sup> AuGe ohmic back contacts were attached to tinned-copper wires using silver paint and the wires were fed through glass tubes. The GaAs wafers were bonded to the wire/glass assembly and an active device area of  $\sim 0.03$  cm<sup>2</sup> was defined with nonconductive epoxy (Loctite Hysol 9460). Electrode areas were individually measured with a digital scanner and ImageJ software. For  $J$ - $E$  measurements, electrodes were immersed in an electrolyte containing 100 mM sublimed ferrocene, 0.5 mM recrystallized ferrocenium, and 1 M LiClO<sub>4</sub> (Alfa Aesar, 99%) in dry acetonitrile. All samples and controls were dipped in aq 1 M HCl, rinsed with 18.2 M $\Omega$  water, and blown dry with N<sub>2</sub>, immediately before all PEC and spectral response measurements to remove the native oxide.<sup>45</sup>  $J$ - $E$  measurements were conducted in three-electrode mode under 100 mW cm<sup>-2</sup> of AM1.5G solar simulation (Abet Technologies 10500).  $J$ - $E$  curves were recorded at a scan rate of 20 mV s<sup>-1</sup>. The counter electrode was Pt mesh and the reference electrode was a Pt wire poised at the solution potential positioned  $\sim 1$  mm from the working electrode to minimize uncompensated series resistance. Hysteresis in the forward and backward  $J$ - $E$  curves is likely related to chemical differences of the GaAs surface (e.g., surface charge) after the anodic and cathodic portions of the potential sweep. Hysteresis is typical for GaAs PEC measurements.<sup>33,34,46</sup>

**Reflectance Characterization.** The  $R$  of anodized GaAs samples was measured in an integrating sphere. We measured the air/GaAs interface, and the air/glass/acetonitrile/GaAs interface which is relevant to the PEC measurements (which were conducted in a glass cell containing acetonitrile). The  $R$  of the air/glass/acetonitrile/GaAs interface was measured by placing a drop of acetonitrile between the etched GaAs surface and a glass slide and loading the assembly onto the integrating sphere.

**SEM Characterization.** The nanostructure of the anodized  $n$ -GaAs samples was characterized using a Zeiss Ultra-55 SEM with in-lens detector. Cross sections were prepared by cleaving the etched wafers and mounting the cleaved surfaces vertically in the SEM holder. The working distance was  $\sim 5$  mm, and an accelerating voltage of 5 kV was used.

**Spectral Response Determination of  $\Phi_{\text{ext}}$ ,  $\Phi_{\text{int}}$ , and  $L_D$ .** Spectral response was measured at short-circuit (0 V vs Pt) using the electrolyte described above diluted by a factor of 1:10 with dry

acetonitrile as discussed in section V and in the Supporting Information (Figure S3). The monochromatic light was chopped at 35 Hz and the nA-range signal was measured using a lock-in amplifier (Bentham PVE300). The chopped signal from samples and controls produced a signal free of transients with amplitude independent of chopping frequency in the range studied (Supporting Information, Figure S4). The light intensity was measured using a calibrated Si reference photodiode (Bentham Si-11677) to determine  $\Phi_{\text{ext}}$ . The  $\Phi_{\text{int}}$  was calculated according to eq 5, where  $R$  is the normal-incidence  $R$  of the air/glass/acetonitrile/GaAs interface as discussed in section III. The calculation of  $\Phi_{\text{int}}$  did not compensate for solution absorbance.

The values of  $L_D$  reported throughout were determined by fitting  $\Phi_{\text{int}}$  of the planar wafers (Supporting Information, Figure S3) to the Gärtner model of carrier collection eq 5 over the region from 550 to 870 nm which is nearly unaffected by solution absorbance.

$$\Phi_{\text{int}} = \left( 1 - \frac{e^{-\alpha(\lambda)W}}{1 + \alpha(\lambda)L_D} \right) \quad (5)$$

The Gärtner model assumes no recombination within the depletion width ( $W$ ) and that recombination in the quasi-neutral region is governed by  $L_D$ .<sup>47,48</sup> This technique yields values of  $L_D$  that are similar to those obtained by electron beam induced current measurements for  $n$ -GaAs.<sup>49</sup>

**Conflict of Interest:** The authors declare no competing financial interest.

**Supporting Information Available:** Expanded methods and discussion of nonaqueous PEC and galvanostatic anodization experiments. This material is available free of charge via the Internet at <http://pubs.acs.org>.

**Acknowledgment.** A.R. received support from the DOE Sun-Shot Initiative (DE-EE0005957). S.W.B. acknowledges support from the DuPont Young Professor Program. S.L. was supported by the Pete and Rosalie Johnson Summer Internship program. R.C. was supported by the Beckman Scholars Program. We acknowledge the use of CAMCOR facilities, which have been purchased with a combination of federal and state funding, as well as the SUNRISE Photovoltaic Laboratory supported by the Oregon Built Environment and Sustainable Technologies (BEST) signature research center. Assistance from Fuding Lin, Lena Trotochaud, Adam Smith, Matt Kast, and Kris Johnson, is also acknowledged.

## REFERENCES AND NOTES

- Walter, M. G.; Warren, E. L.; McKone, J. R.; Boettcher, S. W.; Mi, Q.; Santori, E. A.; Lewis, N. S. Solar Water Splitting Cells. *Chem. Rev.* **2010**, *110*, 6446–6473.
- Kelzenberg, M. D.; Boettcher, S. W.; Petykiewicz, J. A.; Turner-Evans, D. B.; Putnam, M. C.; Warren, E. L.; Spurgeon, J. M.; Briggs, R. M.; Lewis, N. S.; Atwater, H. A. Enhanced



- Absorption and Carrier Collection in Si Wire Arrays for Photovoltaic Applications. *Nat. Mater.* **2010**, *9*, 239–244.
3. Zhu, J.; Yu, Z.; Burkhard, G. F.; Hsu, C.-M.; Connor, S. T.; Xu, Y.; Wang, Q.; McGehee, M.; Fan, S.; Cui, Y. Optical Absorption Enhancement in Amorphous Silicon Nanowire and Nanocone Arrays. *Nano Lett.* **2008**, *9*, 279–282.
  4. Kayes, B. M.; Atwater, H. A.; Lewis, N. S. Comparison of The Device Physics Principles of Planar and Radial p–n Junction Nanorod Solar Cells. *J. Appl. Phys.* **2005**, *97*, 114302-11.
  5. Kelzenberg, M. D.; Turner-Evans, D. B.; Kayes, B. M.; Filler, M. A.; Putnam, M. C.; Lewis, N. S.; Atwater, H. A. Photovoltaic Measurements in Single-Nanowire Silicon Solar Cells. *Nano Lett.* **2008**, *8*, 710–714.
  6. Macdonald, D.; Cuevas, A.; Kerr, M.; Samundsett, C.; Ruby, D.; Winderbaum, S.; Leo, A. Texturing Industrial Multicrystalline Silicon Solar Cells. *Solar Energy* **2004**, *76*, 277–283.
  7. Würfel, P. In *Physics of Solar Cells: From Basic Principles to Advanced Concepts*; Wiley: Weinheim, Germany, 2007; pp 137–153.
  8. Kay, A.; Cesar, I.; Grätzel, M. New Benchmark for Water Photooxidation by Nanostructured  $\alpha$ -Fe<sub>2</sub>O<sub>3</sub> Films. *J. Am. Chem. Soc.* **2006**, *128*, 15714–15721.
  9. Hagedorn, K.; Forgacs, C.; Collins, S.; Maldonado, S. Design Considerations for Nanowire Heterojunctions in Solar Energy Conversion/Storage Applications. *J. Phys. Chem. C* **2010**, *114*, 12010–12017.
  10. Boettcher, S. W.; Spurgeon, J. M.; Putnam, M. C.; Warren, E. L.; Turner-Evans, D. B.; Kelzenberg, M. D.; Maiolo, J. R.; Atwater, H. A.; Lewis, N. S. Energy-Conversion Properties of Vapor–Liquid–Solid Grown Silicon Wire-Array Photocathodes. *Science* **2010**, *327*, 185–187.
  11. Price, M. J.; Maldonado, S. Macroporous n-GaP in Nonaqueous Regenerative Photoelectrochemical Cells. *J. Phys. Chem. C* **2009**, *113*, 11988–11994.
  12. Kapadia, R.; Fan, Z.; Takei, K.; Javey, A. Nanopillar Photovoltaics: Materials, Processes, and Devices. *Nano Energy* **2012**, *1*, 132–144.
  13. Parkinson, B. A.; Heller, A.; Miller, B. Effects of Cations on the Performance of the Photoanode in the n-GaAs|K<sub>2</sub>Se-K<sub>2</sub>Se<sub>2</sub>-KOH|C Semiconductor Liquid Junction Solar Cell. *J. Electrochem. Soc.* **1979**, *126*, 954–960.
  14. Bean, K. E. Anisotropic Etching of Silicon. *IEEE Trans. Electron Devices* **1978**, *25*, 1185–1193.
  15. McAlpine, M. C.; Ahmad, H.; Wang, D.; Heath, J. R. Highly Ordered Nanowire Arrays on Plastic Substrates for Ultrasensitive Flexible Chemical Sensors. *Nat. Mater.* **2007**, *6*, 379–384.
  16. Menna, P.; Di Francia, G.; La Ferrara, V. Porous Silicon in Solar Cells: A Review and a Description of its Application as an AR Coating. *Sol. Energy Mater. Sol. Cells* **1995**, *37*, 13–24.
  17. Maiolo, J. R.; Atwater, H. A.; Lewis, N. S. Macroporous Silicon as a Model for Silicon Wire Array Solar Cells. *J. Phys. Chem. C* **2008**, *112*, 6194–6201.
  18. Garnett, E.; Yang, P. Light Trapping in Silicon Nanowire Solar Cells. *Nano Lett.* **2010**, *10*, 1082–1087.
  19. Fahrenbruch, A. L.; Bube, R. H. *Fundamentals of Solar Cells: Photovoltaic Solar Energy Conversion*; Academic Press: Waltham, MA, 1983.
  20. Green, M. A.; Emery, K.; Hishikawa, Y.; Warta, W.; Dunlop, E. D. Solar Cell Efficiency Tables (Version 40). *Prog. Photovolt. Res. Appl.* **2012**, *20*, 606–614.
  21. Mauk, M. G.; Feyock, B. W.; Cotter, J. E. GaAs-on-silicon Conformal Vapor-Phase Epitaxy Using Reversible Transport and Selective Etching Reactions with Water Vapour. *J. Cryst. Growth* **2001**, *225*, 528–533.
  22. Ritenour, A. J.; Cramer, R. C.; Levinrad, S.; Boettcher, S. W. Efficient n-GaAs Photoelectrodes Grown by Close-Spaced Vapor Transport from a Solid Source. *ACS Appl. Mater. Interfaces* **2011**, *4*, 69–73.
  23. Ritenour, A. J.; Boettcher, S. W. Towards High-Efficiency GaAs Thin-Film Solar Cells Grown via Close Space Vapor Transport from a Solid Source. *Proceedings of the 38th IEEE Photovoltaic Specialists Conference*; IEEE: New York, **2012**; pp 913–917.
  24. Nicoll, F. H. The Use of Close Spacing in Chemical-Transport Systems for Growing Epitaxial Layers of Semiconductors. *J. Electrochem. Soc.* **1963**, *110*, 1165–1167.
  25. Hao, M.; Uchida, H.; Shao, C.; Soga, T.; Jimbo, T.; Umeno, M. Porous GaAs Formed by a Two-Step Anodization Process. *J. Cryst. Growth* **1997**, *179*, 661–664.
  26. Föll, H.; Langa, S.; Carstensen, J.; Christophersen, M.; Tiginyanu, I. M. Pores in III–V Semiconductors. *Adv. Mater.* **2003**, *15*, 183–198.
  27. Langa, S.; Carstensen, J.; Christophersen, M.; Steen, K.; Frey, S.; Tiginyanu, I. M.; Föll, H. Uniform and Nonuniform Nucleation of Pores during the Anodization of Si, Ge, and III-V Semiconductors. *J. Electrochem. Soc.* **2005**, *152*, C525–C531.
  28. Li, X.; Guo, Z.; Xiao, Y.; Um, H.-D.; Lee, J.-H. Electrochemically Etched Pores and Wires on Smooth and Textured GaAs Surfaces. *Electrochim. Acta* **2011**, *56*, 5071–5079.
  29. Wallentin, J.; Anttu, N.; Asoli, D.; Huffman, M.; Åberg, I.; Magnusson, M. H.; Siefer, G.; Fuss-Kailuweit, P.; Dimroth, F.; Witzigmann, B.; et al. InP Nanowire Array Solar Cells Achieving 13.8% Efficiency by Exceeding the Ray Optics Limit. *Science* **2013**, *339*, 1057–1060.
  30. Colombo, C.; Heiß, M.; Grätzel, M.; Morral, A. F. Gallium Arsenide p-i-n Radial Structures for Photovoltaic Applications. *Appl. Phys. Lett.* **2009**, *94*, 173108–173108–3.
  31. Krogstrup, P.; Jørgensen, H. I.; Heiss, M.; Demichel, O.; Holm, J. V.; Aagesen, M.; Nygaard, J.; i Morral, A. F. Single-Nanowire Solar Cells Beyond the Shockley–Queisser Limit. *Nat. Photon.* **2013**, *7*, 306–310.
  32. Tajik, N.; Peng, Z.; Kuyanov, P.; LaPierre, R. R. Sulfur Passivation and Contact Methods for GaAs Nanowire Solar Cells. *Nanotechnology* **2011**, *22*, 225402.
  33. Gronet, C. M.; Lewis, N. S. n-Type GaAs Photoanodes in Acetonitrile: Design of a 10.0% Efficient Photoelectrode. *Appl. Phys. Lett.* **1983**, *43*, 115–117.
  34. Casagrande, L. G.; Juang, A.; Lewis, N. S. Photoelectrochemical Behavior of n-GaAs and n-Al<sub>x</sub>Ga<sub>1-x</sub>As in CH<sub>3</sub>CN. *J. Phys. Chem. B* **2000**, *104*, 5436–5447.
  35. Schmuki, P.; Lockwood, D. J.; Labbe, H. J.; Fraser, J. W. Visible Photoluminescence from Porous GaAs. *Appl. Phys. Lett.* **1996**, *69*, 1620–1622.
  36. Schweitzer, G. K.; Pesterfield, L. L. *The Aqueous Chemistry of the Elements*; Oxford University Press: UK, 2010.
  37. Palik, E. D. *Handbook of Optical Constants of Solids*; Academic Press, Inc.: Waltham, MA, 1985.
  38. Bard, A. J.; Faulkner, L. R. *Electrochemical Methods*, 2nd ed.; John Wiley & Sons: New York, 2001.
  39. Gibbons, J. F.; Cogan, G. W.; Gronet, C. M.; Lewis, N. S. A 14% Efficient Nonaqueous Semiconductor/Liquid Junction Solar Cell. *Appl. Phys. Lett.* **1984**, *45*, 1095–1097.
  40. Mauk, M. G.; Feyock, B. W.; Hall, R. B.; Cavanaugh, K. D.; Cotter, J. E. In Polycrystalline GaAs Solar Cells on Low-Cost Silicon-Film Substrates. *Proceedings of the 26th IEEE Photovoltaic Special Conference*; IEEE: New York, **1997**; pp 511–514.
  41. Heller, A.; Miller, B. I.; Chu, S. S.; Lee, Y. T. 7.3% Efficient Thin-Film, Polycrystalline n-Gallium Arsenide Semiconductor Liquid Junction Solar Cell. *J. Am. Chem. Soc.* **1979**, *101*, 7633–7634.
  42. Shastry, S. K.; Ghandhi, S. K. Interface Reactions and Grain Growth Processes in Poly-GaAs Deposited on Molybdenum Substrates by the Organometallic Process. *J. Cryst. Growth* **1983**, *61*, 458–462.
  43. Blakeslee, A. E.; Vernon, S. M. Grain Size and Its Influence on Efficiency in Polycrystalline GaAs Solar Cells. *Sol. Cells* **1979**, *1*, 81–90.
  44. Chen, Y. W.; Prange, J. D.; Dühnen, S.; Park, Y.; Gunji, M.; Chidsey, C. E. D.; McIntyre, P. C. Atomic Layer-Deposited Tunnel Oxide Stabilizes Silicon Photoanodes for Water Oxidation. *Nat. Mater.* **2011**, *10*, 539–544.
  45. Watanabe, H.; Matsui, S. Low-Damage Electron-Beam-Assisted Dry Etching of GaAs and AlGaAs Using Electron Cyclotron Resonance Plasma Electron Source. *J. Vac. Sci. Technol., B* **1993**, *11*, 2288–2293.
  46. Kohl, P. A.; Bard, A. J. Semiconductor Electrodes: XVI. The Characterization and Photoelectrochemical Behavior of

- n- and p-GaAs Electrodes in Acetonitrile Solutions. *J. Electrochem. Soc.* **1979**, *126*, 59–67.
47. Gärtner, W. W. Depletion-Layer Photoeffects in Semiconductors. *Phys. Rev.* **1959**, *116*, 84.
48. Sze, S. M. *Physics of Semiconductor Devices*; John Wiley & Sons: New York, 1981.
49. Tufts, B. J.; Abrahams, I. L.; Casagrande, L. G.; Lewis, N. S. Studies of the Gallium Arsenide/Potassium Hydroxide-Selenium Ion ( $\text{Se}_2^{2-}$ )/Selenide Semiconductor/Liquid Junction. *J. Phys. Chem.* **1989**, *93*, 3260–3269.